

PATENT APPLICATION SERIAL NO. 10/539276

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

16/23/2005 NKAYPASH 00000037 220261 10539276

01 FC:1631	300.00 DA
02 FC:1632	500.00 DA
03 FC:1633	200.00 DA
04 FC:1617	130.00 DA
05 FC:1615	350.00 DA

12/07/2005 AJOHNS02 00000001 220261 10539276

01 FC:1642	400.00 DA
02 FC:1614	600.00 DA

02 FC:1632 500.00 CR

PTO-1556 int date: 09/26/2006 THOLLAND
12/07/2005 AJOHNS02 00000001 220261 10539276
(5/87):1614 600.00 CR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT MAINTENANCE
DIVISION

In Re PATENT APPLICATION OF

2006 MAR 15 PM 4: 07

Applicant: Per MILWERTZ



Group Art Unit: TBA

Examiner: TBA

US PATENT & TRADEMARK
OFFICE

Appl'n No.: 10/539,276

Appl'n Filing Date: June 16, 2005

For: POWER CAPACITOR

Atty. Dkt.: 43315-219086

26694

U.S. PATENT AND
TRADEMARK OFFICE

February 2, 2006

REQUEST FOR REFUND

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please refund \$600.00 to Deposit Account No. 22-0261. A copy of the Deposit Account Statement is attached. The U.S. Patent and Trademark Office apparently charged the deposit account on December 7, 2005 for 3 independent claims in excess of 3. However, a Preliminary Amendment was filed on June 16, 2005, with only one (1) independent claim. Hence, please refund the above amount, and notify the undersigned accordingly.

Respectfully submitted,

Date:

2/2/06

A handwritten signature in cursive script, appearing to read "Eric J. Franklin".

Eric J. Franklin, Registration No. 37,134

VENABLE

P.O. Box 34385

Washington, DC 20043-9998

Telephone: (202) 344-4936

Fax: (202) 344-8300

12/06 251	PCT/US05/43024 29953-221614	1601	\$300.00 ✓	\$66,114.06
12/06 252	PCT/US05/43024 29953-221614	1704	\$1,877.00 ✓	\$64,237.06
12/06 253	PCT/US05/43024 29953-221614	1701	\$1,023.00 ✓	\$63,214.06
12/06 254	PCT/US05/43024 29953-221614	8007	\$20.00 ✓	\$63,194.06
12/06 255	PCT/US05/43023 29953-221636	1601	\$300.00 ✓	\$62,894.06
12/06 256	PCT/US05/43023 29953-221636	1704	\$1,877.00 ✓	\$61,017.06
12/06 257	PCT/US05/43023 29953-221636	1701	\$1,023.00 ✓	\$59,994.06
12/06 258	PCT/US05/43023 29953-221636	8007	\$20.00 ✓	\$59,974.06
12/06 259	PCT/US05/43022 29953-221631	1601	\$300.00 ✓	\$59,674.06
12/06 260	PCT/US05/43022 29953-221631	1704	\$1,877.00 ✓	\$57,797.06
12/06 261	PCT/US05/43022 29953-221631	1701	\$1,023.00 ✓	\$56,774.06
12/06 262	PCT/US05/43022 29953-221631	1703	\$96.00 ✓	\$56,678.06
12/06 263	PCT/US05/43022 29953-221631	8007	\$20.00 ✓	\$56,658.06
12/06 299	10600540 38898-190334	2201	\$100.00 ✓	\$56,558.06
12/06 300	10600540 38898-190334	2202	\$100.00 ✓	\$56,458.06
12/06 325	PCT/US05/43077 29953-221580	1601	\$300.00 ✓	\$56,158.06
12/06 326	PCT/US05/43077 29953-221580	1704	\$1,877.00 ✓	\$54,281.06
12/06 327	PCT/US05/43077 29953-221580	1701	\$1,023.00 ✓	\$53,258.06
12/06 328	PCT/US05/43077 29953-221580	8007	\$20.00 ✓	\$53,238.06
12/07 1	10539276 ✓ 43315-219086	1632	-\$500.00	\$53,738.06
12/07 2	10539276 ✓ 43315-219086	1642	\$400.00	\$53,338.06
12/07 2	10257213 18778-31229-103869 213794	1806	\$180.00	\$53,158.06
12/07 3	10539276 ✓ 43315-219086	1614	\$600.00	\$52,558.06
12/07 25	10546835 ✓ 31229-222524	2632	-\$250.00	\$52,808.06
12/07 26	10546835 ✓ 31229-222524	2642	\$200.00	\$52,608.06
12/07 26	10539279 ✓ 31721-219467	2632	-\$250.00	\$52,858.06
12/07 27	10539279 ✓ 31721-219467	2642	\$200.00	\$52,658.06
12/07 29	76123874	6402	\$300.00 ✓	\$52,358.06
12/07 30	78767568 55372-224777	7001	\$325.00 ✓	\$52,033.06
12/07 34	6400989	2551	\$450.00 ✓	\$51,583.06
12/07 39	76601243 31450-203799	6003	\$100.00 ✓	\$51,483.06
12/07 40	76601247 31450-203795	6003	\$100.00 ✓	\$51,383.06
12/07 41	2374021	6205	\$100.00 ✓	\$51,283.06
12/07 47	1414525 632944-032	6205	\$100.00 ✓	\$51,183.06
12/07 48	1414525 632944-032	6201	\$400.00 ✓	\$50,783.06
12/07 49	76601242 31450-203797	6003	\$100.00 ✓	\$50,683.06
12/07 52	11091924 ✓ 31894-215237	1463	-\$200.00	\$50,883.06
12/07 53	11091924 ✓ 31894-215237	1464	\$130.00	\$50,753.06
12/07 59	10730039 37860-188416	2401	\$250.00 ✓	\$50,503.06
12/07 60	10730039 37860-188416	2253	\$510.00 ✓	\$49,993.06
12/07 78	10558832 43315-225721	1631	\$300.00 ✓	\$49,693.06
12/07 79	10558832 43315-225721	1633	\$200.00 ✓	\$49,493.06
12/07 80	10558832 43315-225721	1632	\$500.00 ✓	\$48,993.06
12/07 81	10558832 43315-225721	8021	\$40.00 ✓	\$48,953.06
12/07 88	2296345	6205	\$200.00 ✓	\$48,753.06
12/07 89	2296345	6208	\$400.00 ✓	\$48,353.06
12/07 93	60675482 ✓ 21680-223647	8007	\$20.00	\$48,333.06
12/07 94	60675482 ✓ 21680-223647	8024	\$40.00	\$48,293.06
12/07 95	09761766 28549-1658-0610001 182685	1501	\$1,400.00	\$46,893.06
12/07 95	78767594 55372-224778	7001	\$325.00 ✓	\$46,568.06
12/07 96	09761766 1659.0610001	1504	\$300.00 ✓	\$46,268.06

safenet consultation

PTO charged Inq.
entity, we only
post sm. \$700

127002

Venable Filing Number

Attorney: EJF/ksf
 PTO Docket No.: June 17, 2005
 Date Filed: June 16, 2005

TM Official Gazette Date:

Atty. Docket No: 43315-219086

Re: Per MILWERTZ

Application No: Not Yet Assigned

Patent No.:

Trademark:

Opposition/Cancellation No:

Filing Date: June 16, 2005

Issue Date:

Trademark Reg. No:

The following items were received from Venable, Washington, D.C., by the U.S. Patent & Trademark Office:

☒ Transmittal Letter

U.S. PTO FEES ENCLOSED

\$300.00 Basic Filing Fee

\$500.00 Search Fee

\$200.00 Examination Fee

130.00 Surcharge Fee

350.00 Additional Claims (7 over 20)

Recordation/Indexing Fee

IDS Fee

Extension Fee

Notice of Appeal Fee

Brief on Appeal Fee

Oral Hearing Request Fee

Petition Fee

Issue Fee

Publication Fee

Maintenance Fee

TM Statement of Use

8 Affidavit Fee

8 and 15 Affidavit

TM Renewal Application Fee

Notice of Opposition Fee

TM Extension of Time Fee

\$1,480.00 Total Fees Paid

☐ Check Number Attached
☒ Charge Deposit Account No. 22-0261*

#::ODMA\PCDOCS\DC2DOCS1\655620\1

PLEASE RETURN TO K. FEATHERSTON

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER
43315-219088

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.
PCT/SE2003/001970

INTERNATIONAL FILING DATE
December 16, 2003

PRIORITY DATE CLAIMED
December 17, 2002

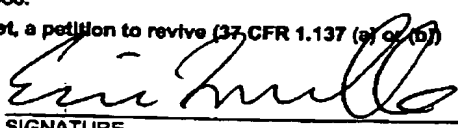
TITLE OF INVENTION
POWER CAPACITOR

APPLICANT(S) FOR DO/EO/US
Per MILWERTZ

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
 3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
 4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
 5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)), published as WO 2004/055842 A1
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau. (attach form IB 308)
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4)
 7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☒ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
 8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11 to 20 below concern document(s) or information included:
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98, with ___ references.
 12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 13. ☒ A FIRST preliminary amendment.
 14. ☒ An Application Data Sheet under 37 CFR 1.76.
 15. ☐ A substitute specification.
 16. ☐ A power of attorney and/or change of address letter.
 17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
 18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
 19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
 20. ☒ Other items or information: (a) PCT/ISA/210; (b) PCT/PER/409; (c) PCT/IB/304 and (d) Postcard

This collection of information is required by 37 CFR 1.414 and 1.49-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SENT TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/SE2003/001970		ATTORNEY'S DOCKET NUMBER 43215-310088	
21. The following fees are submitted:					
<input checked="" type="checkbox"/> a) Basic national fee				\$300.00	\$ 300.00
<input checked="" type="checkbox"/> b) Examination fee				\$200.00	\$ 200.00
<input checked="" type="checkbox"/> c) Search fee				\$500.00	\$ 500.00
TOTAL OF ABOVE CALCULATIONS =				\$1000.00	
					\$ 1,000.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheet (excluding sequence listing or computer listing field in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction Thereof (round up to a whole number)		RATE	
-100 =	/50 =			x \$250.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$ 130.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	27 - 20 =	7	x \$50.00	\$ 350.00	
Independent claims	1 - 3 =	0	x \$200.00	\$	
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+ \$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,480.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$
SUBTOTAL =				\$ 1,480.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$.00
TOTAL NATIONAL FEE =				\$ 1,480.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					\$
TOTAL FEES ENCLOSED =				\$ 1,480.00	
					Amount to be refunded:
					\$
					Amount to be charged:
					\$
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>22-0261</u> in the amount of \$ <u>1,480.00</u> to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>22-0261</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</p>					
<p>SEND ALL CORRESPONDENCE TO:</p> <p>VENABLE LLP P.O. Box 34385 Washington D.C. 20043-9998 Phone No. 202-344-4000 Fax No. 202-344-8300</p>					
					 SIGNATURE
					Eric J. Franklin NAME 37.134 REGISTRATION NUMBER

1A97 Rec'd PCT/PTO 02 FEB 2006

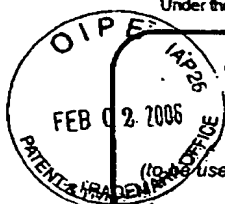
F.C

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/539,276
Filing Date	June 16, 2005
First Named Inventor	Per-MILWERTZ
Group Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	43315-219086

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Preliminary Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Requirements under 35 U.S.C. 371	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Consent of Assignee to Correction of Inventorship <input type="checkbox"/> Petition to Correct Inventorship <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <p style="text-align: center;">1. REQUEST FOR REFUND</p>
<div style="border: 1px solid black; padding: 5px;">Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name		<p style="text-align: center;">*26694*</p> <p style="text-align: center;">26694</p> <p style="text-align: center;">PATENT TRADEMARK OFFICE</p>
Signature	Eric J. Franklin, Reg. No. 37,134	
Date	February 2, 2006	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450b on this date: _____

Typed or printed name			
Signature		Date	

VENABLE
ATTORNEYS AT LAW

SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, 22313-1450.

FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

FEB 02 2006
PATENT & TRADEMARK OFFICE

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

Application Number 10/539,276
Filing Date June 18, 2005
First Named Inventor Per MILWERTZ
Examiner Name TBA
Group / Art Unit TBA
Attorney Docket No. 43315-219086

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

22-0261

Deposit
Account
Name

Venable LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☒ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee(\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1011	300	2011	150	Utility filing fee	
1012	200	2012	100	Design filing fee	
1013	200	2013	100	Plant filing fee	
1014	300	2014	150	Reissue filing fee	
1005	200	2005	100	Provisional filing fee	
1081	250	2081	125	Utility App. Size Fee	
1082	250	2082	125	Design App Size Fee	
1083	250	2083	125	Plant App. Size Fee	
1084	250	2084	125	Reissue App Size Fee	
1085	250	2085	125	Prov. App Size Fee	

SUBTOTAL (1)

(\$0)

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20 ** =	X	=
Independent Claims	-3 ** =	X	=
Multiple Dependent		X	=

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1202	50	2202	25	Claims in excess of 20
1201	200	2201	100	Independent claims in excess of 3
1203	360	2204	180	Multiple dependent claim, if not paid
1204	200	2204	100	** Reissue independent claims in excess of three
1205	50	2205	25	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

**for number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Fee Code	Lrg Ent Fee (\$)	Fee Code	Sm Ent Fee (\$)	Fee Description	Fee Paid
1111	500	2111	250	Utility Search Fee	
1112	100	2112	50	Design Search Fee	
1113	300	2113	150	Plant Search Fee	
1114	500	2114	250	Reissue Search Fee	
1311	200	2311	100	Utility Examination Fee	
1312	130	2312	65	Design Examination Fee	
1313	160	2313	80	Plant Examination Fee	
1314	600	2314	300	Reissue Examination Fee	
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	120	2215	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	1451	1,510	Petition to Institute a public use proceeding	
1452	500	2452	250	Petition to revive - unavoidable	
1453	1,500	2453	750	Petition to revive - unintentional	
1501	1,400	2501	700	Utility issue fee (or reissue)	
1502	800	2502	400	Design issue fee	
1503	1,100	2503	550	Plant issue fee	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

SUBMITTED BY Complete (if applicable)

Name (Print/Type) Eric J. Franklin Reg No. Attorney/Agent 37,134 Telephone 202-344-4000
Signature [Signature] Date February 2, 2006

VENABLE

PC Docs No. 719862

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. SEND TO: Commissioner for Patents, Mail Stop Patent Application, Alexandria, VA 22313-1450.